

- Joe Fiegl
- Award letter

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

625 Broadway
Albany, New York 12203-1010
www.dec.ny.gov

ENVIRONMENTAL FACILITIES CORPORATION

625 Broadway
Albany, New York 12207-2997
www.efc.ny.gov

DEC 10 2015

Honorable Mark C. Poloncarz
County Executive
Erie County
95 Franklin Street
Buffalo, NY 14202

Re: Planning Grant: #51720
Erie County Sewer District No. 3 Engineering Study

Dear Mr. Poloncarz:

In 2011, Governor Cuomo launched the Regional Economic Development Councils and the Consolidated Funding Application (CFA) to provide each region with the tools to create and implement their own roadmap for economic prosperity and job creation. This community-based model uses local assets to drive local economic growth and has resulted in unprecedented partnerships and collaboration that are building a reinvigorated economy.

After four successful rounds, the 2015 CFA made \$750 million in economic development resources available from more than thirty programs across thirteen State agencies. The agency programs provide resources for projects focused on community development and job creation, direct assistance to business, waterfront revitalization, energy and environmental improvements, sustainability and low-cost financing. The Department of Environmental Conservation (DEC) and Environmental Facilities Corporation (EFC) are proud to have contributed \$2,000,000 to this year's CFA.

We are pleased to inform you that the Erie County project has been selected to receive up to \$100,000 for the Engineering Planning Grant through the New York Clean Water State Revolving Fund program. On behalf of our partners at the federal, State, and local levels of government, please accept our gratitude for taking the initiative to participate in this program. If you applied for funding from other DEC or EFC programs or other State agencies, you will receive information from those programs/agencies separately.

We are ready to begin working with your community on this project, but before proceeding we would first like to confirm that you are interested in proceeding and using this funding for your project. Within two weeks of the date on this letter, please send your confirmation or declination to EFC and the name and contact information of the municipality's authorized project representative to epg@efc.ny.gov.

Item (d)

In order for you to remain on schedule and enter into a grant agreement with EFC to access these funds, there are several steps that must be completed by your organization. For your convenience a project checklist is enclosed but you may also visit <http://www.efc.ny.gov/Default.aspx?tabid=485> for the list of supporting documents necessary to complete the grant agreement with EFC. Your project coordinator will be reaching out to you shortly to assist with completing all the required submittals necessary to execute a grant agreement with EFC.

We recommend that you begin working immediately on the procurement of engineering services for the preparation of the Engineering Report. If you have already procured engineering services, please forward the contract documents to EFC as soon as possible. Please be aware that the grant requires that you seek 30 percent participation by New York State certified Minority and/or Women Owned Business Enterprises for contracted work that exceeds \$25,000. Additionally, this award requires that you provide a 20 percent minimum local match of the total project cost.

We look forward to working with you on this important water quality project. If you should have any questions, please contact EFC at epg@efc.ny.gov or call 518-402-7396.

Sincerely,



Sabrina M. Ty
President and CEO



Basil Seggos
Acting Commissioner

Enclosure

CWSRF Engineering Planning Grants

Checklist of Supporting Documents Needed for Grant Agreement

| <input checked="" type="checkbox"/> <u>Supporting Documentation Submitted to NYSEFC</u> | <u>Date of Document</u> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| <input type="checkbox"/> Resolution Designating of an Authorized Representative for the project (Sample Resolution Language attached) | _ / _ / _ |
| <input type="checkbox"/> Executed Engineering Agreement | _ / _ / _ |
| <input type="checkbox"/> Inclusion of the "NON-CONSTRUCTION Bid Packet" from the EFC website | |
| <input type="checkbox"/> Compliance with the New York State's Minority/Woman-owned Business Enterprises (M/WBE) requirements | _ / _ / _ |
| <input type="checkbox"/> All professional services contracts with a value greater than \$25,000 must submit an MWBE Workplan and approvable Utilization Plan/Waiver Request. The MWBE goal will be 30% combined. | |
| <input type="checkbox"/> Detailed final budget and plan of finance including all third party funding agreements, and satisfaction of the minimum 20% local match requirement | _ / _ / _ |
| <input type="checkbox"/> Local resolution authorizing and obligating local match funds. Match can include cash and/or in-kind services. Other grants may not be used for local match. (Sample Resolution Language attached) | _ / _ / _ |
| <input type="checkbox"/> Completion of Environmental Quality Review (SEQR) Act and State Environmental Review Process (SERP). Sample Resolution Language attached. Please refer to EFC's "Environmental Review Guidance" on EFC's website for more information on the SEQR and SERP processes. | _ / _ / _ |
| <input type="checkbox"/> Acknowledgement of project review by the New York State Office of Parks and Historic Preservation's State Historic Preservation Office (SHPO) Note: EFC expects to receive a blanket NO IMPACT letter from SHPO for all of the 2015 Engineering Planning Grant Program projects. | _ / _ / _ |