Grant Applications... Preparing for Success

Presented by:
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Where Do I Start?

• Are there longstanding problems in your community?
• Do you have a comprehensive plan?
• Older studies sitting on a shelf?
• Preliminary Designs/Conceptual Plans/Cost Estimates?
Where do I Start?

• If you answered no to one or more questions on the previous slide, then there’s work to do.
Where Can I Find Grant Information?

- County Planning Departments
- Regional Planning Councils
- Regional Transportation Councils
- Soil and Water Conservation Districts
- Cornell Cooperative Extension
- State Associations like NYSAC, NYCOM, NY Association of Towns
What Do Grants Fund?
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- Water, sewer and drainage infrastructure; downtown revitalization; housing rehabilitation; water quality improvements; parks and trails; historic preservation; open space acquisition; brownfields restoration; waterfront revitalization; local government shared services/consolidations; economic development; planning and pre-application preparation activities; ADA compliance
Success with grant funded projects is not about luck... it is about
Identifying Priorities,
Focusing Efforts, and
Taking Deliberate Action.
Preparing for a Grant

• Start early – at least a year or more in advance
• Make sure the project is consistent with local/regional plans
• Line up public support as soon as possible
Preparing for a Grant

• Consult your Regional Economic Development Council **early in the process**

• Find a champion for your project
**Invest** in your project

Get as close to “shovel ready” as possible
Because there's no such thing as a free lunch
Upfront Costs

- Application Preparation
  - Preparation Costs
    – Allocation of Resources
  - Prepare In-house or hire a Consultant?
Upfront Costs

- Identify the required “match” – (cash, in-kind or both)
- Complete required Preliminary Estimates and Studies
- Secure letters of support
If the Grant is Awarded...

- Reporting compliance
- Reimbursement Procedures
- Project Scheduling
- Environmental Review(s)
- Procurement requirements
- MWBE/EEO Compliance

**NEVER START WORK UNTIL A CONTRACT WITH THE GRANTING AGENCY IS SIGNED, UNLESS THEY INDICATE OTHERWISE!**
2017 NYS Consolidated Funding Application (CFA) Process

• Simplified online application process
• 23 grant programs available through 12 state agencies
• $800 million in competitive funding available statewide
• Apply for multiple grants in the same funding round
• 2017 applications due July 28th
2017 CFA Participating Agencies

- NYS DEC
- NYS Parks, Recreation and Historic Preservation
- NYS Council on the Arts
- Empire State Development Corporation
- NYS Department of Labor
- NYSERDA
- Environmental Facilities Corporation
- NYS Homes and Community Renewal
- NYS Department of State
- NYS Canal Corp
- NY Power Authority
- NYS Ag and Markets
Where Do I Find CFA Information?

https://apps.cio.ny.gov/apps/cfa/

At the bottom of the page you will find the following links:

Links
- Available CFA Resources
  Detailed descriptions of all grant programs
- Regional Council Guidebook
  Status of all Regions’ project implementation
- CFA Application Manual
  Instructions for completing the CFA Application
- Program Application Questions
  List of all required questions for each CFA program
- 2017 CFA Workshop
  Schedule/signup for CFA Informational Workshops
CDBG Planning Grants (to help you get ready for construction grants)

- Maximum grant $50,000
- 95% grant; 5% local cash match
- Funds community needs assessments or preliminary engineering reports
- Purpose is to prepare communities for future funding opportunities to address identified needs
DEC Wastewater Engineering Planning Grant Program

• $3 million available statewide
• Primary goal to advance water quality projects to construction for needy communities
• Prepare engineering reports or conduct planning activities to evaluate water quality issues, examine alternatives and propose a capital improvement project
DEC Wastewater Engineering Planning Grant Program

- $30,000 grant – municipalities with population of 50,000 or less
- $50,000 grant – municipalities with a population greater than 50,000
- $100,000 grant – no population restrictions. Can be used only for wastewater inflow and infiltration studies
- $20% local match required
Local Waterfront Revitalization Program

$15.2 million available statewide
- 75% grants – 25% local match
- Develop LWRP Plans
- Update LWRPs to mitigate future physical climate risks
- Watershed management plans

$10 million to be awarded to densely populated areas with physical deterioration, decay, neglect or disinvestment, where substantial portion of population is low income with few recreational opportunities
NYS Environmental Protection Fund Planning Grants

For project specific planning such as designs and specifications or structural assessments for:

• Parks projects (playgrounds, courts, ice rinks, community gardens, and facilities for swimming, boating, picnicking, camping, fishing, trails)
• Historic preservation projects (activities that lead to the acquisition, protection, preservation or restoration/rehabilitation of historic properties, such as historic structures reports)
NYS Environmental Protection Fund

- $20 million available statewide
- Acquisition, planning, development and improvement of parks, historic properties, and heritage areas in New York State
- Municipalities, non-profits and public authorities are eligible to apply
- Applicant must have an ownership interest in the property to be improved
- Strict easements are applied to insure property remains parkland in perpetuity
NYS Environmental Protection Fund

- 50% local match
- 25% match when poverty rate equals or exceeds 10%
- Maximum grant - $500,000
Green Innovation Grant Program

- $15 million available statewide
- Manage rain where it falls
- Innovative streetscape designs
- No grant limit ($10,000 to $1 million+)
- 10% local match
Green Innovation Grant Program
Eligible Activities

• Permeable pavement (porous asphalt or pavers)
• Bioretention (raingardens, bioswales)
• Green roofs and Green walls
• Stormwater street trees/ urban forestry programs
• Construct/restore wetlands, floodplains or riparian buffers
• Stream daylighting
• Downspout disconnection
• Rain barrels/Cisterns
$87 million available statewide

**Wastewater Treatment Improvement Projects**

- **Effluent Disinfection** – max grant $1 million – 15% local match
- **Combined Sewer Overflow** – max grant $5 million – 15% local match
- **TMDL Implementation** – max grant $1 million – 15% local match
- **Inadequate on-site septic systems** – max grant $1 million – 15% local match
- **General wastewater improvements** – max grant $2.5 million – 60% local match

- **ALL applicants MUST submit an engineering report with each grant application**
Water Quality Improvement Program

Non-agricultural non-point source priorities:

• Decentralized wastewater treatment
• Green Infrastructure Practices/Stormwater Retrofits
• Great Lakes Nature Based Shoreline Projects
• Streambank Stabilization/Restoration/Riparian Buffers
• In Waterbody Nutrient Controls
• Beach Restoration
• Salt Storage Facilities
NYS CDBG Small Cities Program

- Eligible applicants are *non-entitlement* cities, towns, villages of 50,000 persons or less and Counties with 200,000 person or less
- Eligible activities include Public Infrastructure, Public Facilities, Microenterprise Programs, Community Planning
# NYS CDBG Small Cities Program Funding Limits

<table>
<thead>
<tr>
<th>Public Infrastructure</th>
<th>Maximum Grant</th>
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<tbody>
<tr>
<td>Counties, Towns, Cities, Villages</td>
<td>$750,000</td>
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<tr>
<td>Joint Applicants</td>
<td>$900,000</td>
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<tr>
<td>With NYS Co-Funding</td>
<td>$1,000,000</td>
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<table>
<thead>
<tr>
<th>Public Facilities</th>
<th>Maximum Grant</th>
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</thead>
<tbody>
<tr>
<td>Counties, Towns, Cities, Villages</td>
<td>$300,000</td>
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<thead>
<tr>
<th>Microenterprise</th>
<th>Maximum Grant</th>
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<tbody>
<tr>
<td>Counties, Towns, Cities, Villages</td>
<td>$200,000</td>
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<table>
<thead>
<tr>
<th>Planning</th>
<th>Maximum Grant*</th>
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<tbody>
<tr>
<td>Counties, Towns, Cities, Villages</td>
<td>$50,000</td>
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*requires 10 percent local match
### B&L CFA Awards

<table>
<thead>
<tr>
<th>Program</th>
<th>Amount</th>
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<tbody>
<tr>
<td>NYS Local Government Efficiency Program</td>
<td>$1,892,545</td>
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<tr>
<td>DEC Wastewater Infrastructure Planning</td>
<td>$998,840</td>
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<tr>
<td>Green Innovation Grant Program</td>
<td>$3,249,400</td>
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<tr>
<td>DEC Water Quality Improvement Program</td>
<td>$4,311,375</td>
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<tr>
<td>DEC Climate Smart Communities</td>
<td>$1,327,000</td>
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<tr>
<td>NYS Canal Program</td>
<td>$247,400</td>
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<tr>
<td>NYS Empire State Development Grants</td>
<td>$5,000,000</td>
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<tr>
<td>CDBG Public Infrastructure/ Facilities Grants</td>
<td>$5,145,000</td>
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<tr>
<td>CDBG Planning Grant Program</td>
<td>$71,060</td>
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<tr>
<td>CDBG Microenterprise Program</td>
<td>$200,000</td>
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<tr>
<td>NYS OPRHP Parks Program</td>
<td>$515,700</td>
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<tr>
<td>NYS Local Waterfront Revitalization Program</td>
<td>$542,500</td>
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B&L CFA Total Client Awards

NYS CFA GRAND TOTAL

$23,501,820
QUESTIONS?